

Recap. (0) 
$$\begin{array}{ccc} E & \cong & [E', f] \\ \downarrow & & \downarrow \\ X \times S^2 & & X \end{array}$$

(1)  $[E, f] \cong [E, e]$

Laurent polynomial clutching func.

(2)  $[E, e] \cong [E, q] \otimes \hat{H}^{-m}$

polynomial

$(H-1)^2 = 0.$

(3)  $[E, q] \oplus [nE, id] \cong [(n+1)E, a(x)z + b(x)]$

$n \geq \deg q.$

$\hookrightarrow$  Resonance.

Linear.

(4)  $[E, a(x)z + b(x)] \cong [E, z + b'(x)]$

(5) For any  $[E, z + b(x)] \cong E_+ \oplus E_-$

$[E, z + b(x)] \cong [E_+, id] \oplus [E_-, z].$

Pr of surj of FPT. For an arbitrary  $E' = [E, f] \in K(X \times S^2)$

we have. (in  $K(X \times S^2)$ )

$$\begin{aligned}
 [E, f] &= [E, q] \otimes \hat{H}^{-m} \\
 &= [(n+1)E, \underbrace{a(z)z + b'(z)}_{z + b'(z)}] \otimes \hat{H}^{-m} \\
 &\quad - [nE, id] \otimes \hat{H}^{-m} \\
 &= [(n+1)E]_+ \otimes \hat{H}^{-m} \\
 &\quad + [(n+1)E]_- \otimes \hat{H}^{-m+1} \\
 &\quad - [nE, id] \otimes \hat{H}^{-m} \\
 &= \mu \left( \underbrace{[(n+1)E]_+}_{K(X)} \otimes \underbrace{\hat{H}^{-m}}_{K(S^2)} + \underbrace{[(n+1)E]_-}_{K(X)} \otimes \hat{H}^{-m+1} - nE \otimes \hat{H}^{-m} \right).
 \end{aligned}$$

ie.  $\mu$  is surjective.

$\square$



$$g_z = \begin{bmatrix} 1 & -z & & & & \\ & 1 & -z & & & \\ & & \ddots & \ddots & & \\ & & & 1 & -z & \\ & & & & 1 & -z \\ a_n & \dots & \dots & \dots & a_1 & a_0 \end{bmatrix}$$

$\downarrow$  (curved arrow above the matrix)  
 $\uparrow$  (curved arrow below the matrix)

$$q(x, z) = a_0(x) + a_1(x)z + \dots + a_n(x)z^n$$

Now observe that  $g_z$  is of the form  $a(x)z + b(x)$ , and row & column operations are implementable by homotopy (through clutching functions),



Note that for fixed  $n \geq \deg q$ , we wrote down a recipe to build a linear clutching function  $L^n q$  from  $q$ .

Pf of (4). Cheap trick:  $(q_t(z) = a(x)z + b(x))$ .

Consider the family of maps

$$q_t(x, z) = a(x)(z+t) + b(x)(1+z+t)$$

↳ when  $t=0$  of course  $q_0 = q$ .

Moreover, for any  $0 \leq t_0 < 1$ , then

$$[E, q] \cong [E, q_t] \quad \forall t \leq t_0$$

since

$$q_t(x, z) = (1+z+t) \cdot q\left(\frac{z+t}{z+t+t}\right)$$

always non zero when  $z \in S'$   $|t| < 1$

sends  $S'$  into itself.

Observe that  $a(x) + b(x)$  is an iso.  
(Follows just since we can restrict  $q$  to  $X \times \{1\}$ .)

In turn, for all  $t_0$  close enough to  $1 \in I$ ,  $\underline{a(x) + t b(x)}$  must still be invertible.  $\rightarrow$  The map

$$t \mapsto \inf_{x \in X} |\det(a(x) + t b(x))|$$

is continuous and strictly positive at  $t=1$ .

Pick any such  $t_0$ . Then

$$\begin{aligned} q_{t_0}(x, z) &= \cancel{a(x)z} + a(x)t_0 + b(x)z + \cancel{b(x)t_0} \\ &= (a(x) + t_0 b(x))z + a(x)t_0 + b(x). \end{aligned}$$

$$= (a(x) + t_0 b(x)) \underbrace{\left( z + \frac{a(x)t_0 + b(x)}{a(x) + t_0 b(x)} \right)}_{\tilde{q}_{t_0}(x, z)}.$$

$$[E, g] \cong [E, g_{t_0}]$$

$$\cong [E, \underbrace{(a(x) + t_0 b(x))}_{\text{wavy line}} g_{t_0}^2]$$

$$\cong [E, g_{t_0}^2]$$

Q. If  $\alpha \in \underline{GL}(\mathbb{C}^n)$ , then  $\begin{matrix} S^1 \\ \downarrow \\ \mathbb{Z} \end{matrix} \xrightarrow{\alpha} \alpha$  defines an "ordinary" clutching func. for a bundle over  $S^2$ .

What is this bundle? Always the trivial bundle  $\mathbb{C}^n \times S^2$

$$\begin{matrix} \mathbb{C}^n \times S^2 \\ \downarrow \\ S^2 \\ \text{is} \end{matrix}$$

For our generalized clutching functions we always have

$$[E, \underbrace{\alpha f}_{\text{Aut}(E)}}] \cong [E, f]$$



For right now, note that if  $z + b(x)$  is a clutching function, then  $b$  has no eigenvalues in  $S' \subseteq \mathbb{C}$ .

Pf of ⑤.

Lemma. Suppose  $b: E \rightarrow E$  is an endomorphism with no e.v.s in  $S'$ . Then  $E$  uniquely decomposes as  $E_+ \oplus E_-$  such that:

→ [A]  $b$  respects the decomp.

[B] e.v.s. ( $b|_{E_+}$ ) all lie outside the unit circle, &  
e.v.s. ( $b|_{E_-}$ ) all lie inside the unit circle.



Pf of lemma. Let's do this for  $V$ -spaces

first; Fix  $T: V \rightarrow V$ , let  $q(t)$

be the char. poly. of  $T$ .

Define  $q_+(t) =$  "product of all linear factors of  $q(t)$  which have roots outside  $S^1 \subset \mathbb{C}$ ,"

$q_-(t) =$  " \_\_\_\_\_  
\_\_\_\_\_ inside  $S^1 \subset \mathbb{C}$ ."

Then  $q(t) = q_+(t)q_-(t)$ ,

Define  $V_{\pm} := \ker q_{\pm}(T)$ . First,  $q_+$  and  $q_-$  are coprime, so  $\exists$  polys.

$r$  &  $s$  s.t.  $rq_+ + sq_- = 1$ . In

particular

$$\textcircled{1} \quad r(T) q_+(T) + s(T) q_-(T) = \text{id}_V$$

$\downarrow$   
 $0 = q(T) = q_+(T) q_-(T) = q_-(T) q_+(T)$

$\Rightarrow \text{im } q_-(T) \subseteq V_+ = \ker q_+(T)$   
 $\Rightarrow V_+ = \text{im } q_-(T)$

$$\textcircled{2} \quad q_+(T) r(T) + q_-(T) s(T) = \text{id}_V$$

$$\Rightarrow \text{im } q_+ + \text{im } q_- = V.$$

$$\Rightarrow V_+ + V_- = V \quad (*)$$

Q: Why do we know

$$V_+ \cap V_- = \phi \quad (**)$$

↳ Immediately follows from (\*\*).

$$\overbrace{q_+(T) \uparrow}^{V_+ = \ker q_+(T)} \uparrow \underbrace{V}_V = \underbrace{T q_+(T) V}_0 = 0 \Rightarrow T(V_+) \subseteq V_-$$

This shows **IA**. By is easier.

To see uniqueness let  $V = V_+ \oplus V_-$   
 be an arb. decomp. of  $V$  satisfying  
 (A) & (B).

We can restrict  $b_{\pm} : V_{\pm} \rightarrow V_{\pm}$ ,  
 and obtain char. polys  $q'_{+}$ ,  $q'_{-}$   
 respectively.  $\rightarrow q = q'_{+} \cdot q'_{-}$ .

But by (B) we must have  
 that the factors of  $q'_{\pm}$  are factors  
 of  $q_{\pm}$ .

We have to have that  $q'_{+}(T)$   
 annihilates  $V_{+}$ , which implies that  
 $V_{+} \subseteq \ker q_{+}(T)$ . But by (A)  
 $V = V_{+} \oplus V_{-}$ , so the only

possibility is that we have equality.

Claim. The polys.  $q_+$  &  $q_-$  vary continuously as a function of  $T$ .

PF of claim:

Let  $a \in \mathbb{C} \setminus S'$  be a root of  $q$  with multiplicity  $m$ .

Let  $C$  be a <sup>small</sup> circle in  $\mathbb{C}$  around  $a$ .

a. If  $q'$  is

$\hookrightarrow \oplus$

---

As we vary our point in the  
base a small amount and  
 $q$  becomes  $q'$  then

Subclaim. The number of roots of  $q$  inside a circle  $C$  (disjoint from the zero locus of  $q$ ) is equal to the winding number of the map

$\nearrow \{a + re^{i\theta}\}$

$$\gamma(z) = \frac{q(z)}{|q(z)|} \quad \mathbb{N}$$

$C \rightarrow S^1$

Pr. Let  $a$  be any root of  $q$  of multiplicity  $m$ . Then

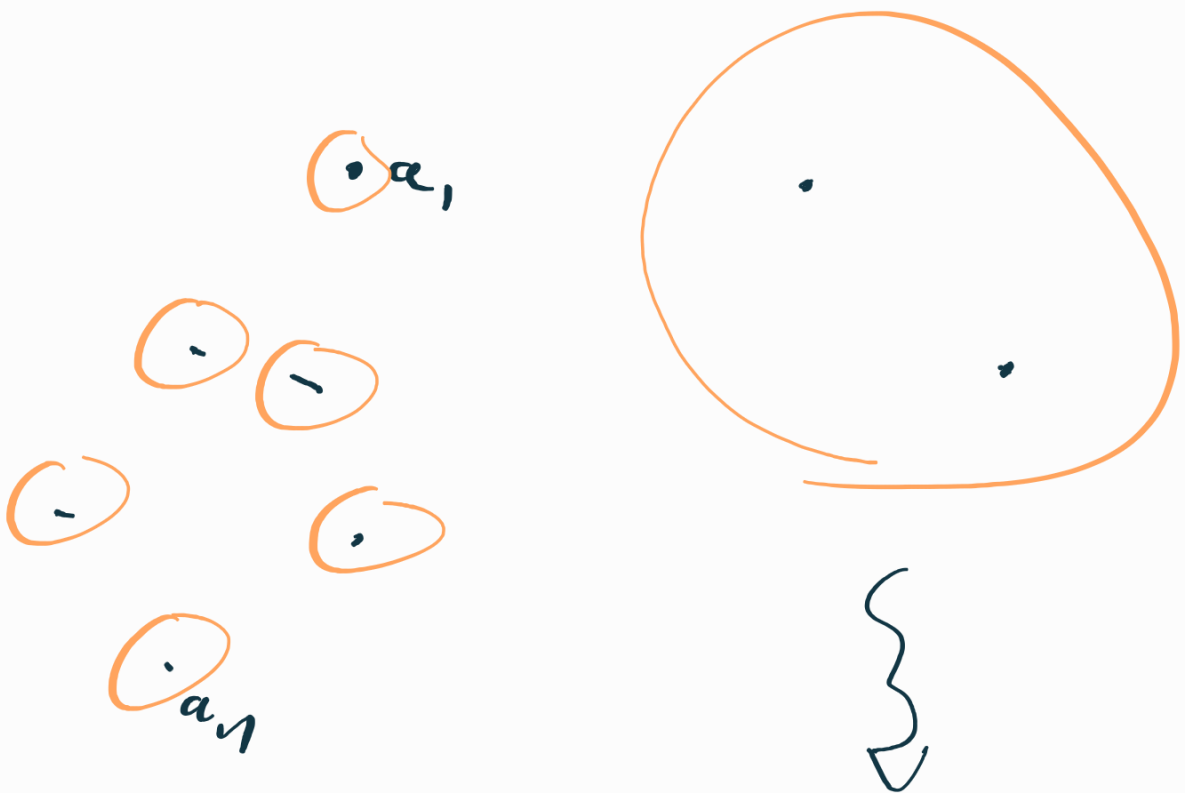
$$q(z) = p(z)(z-a)^m$$

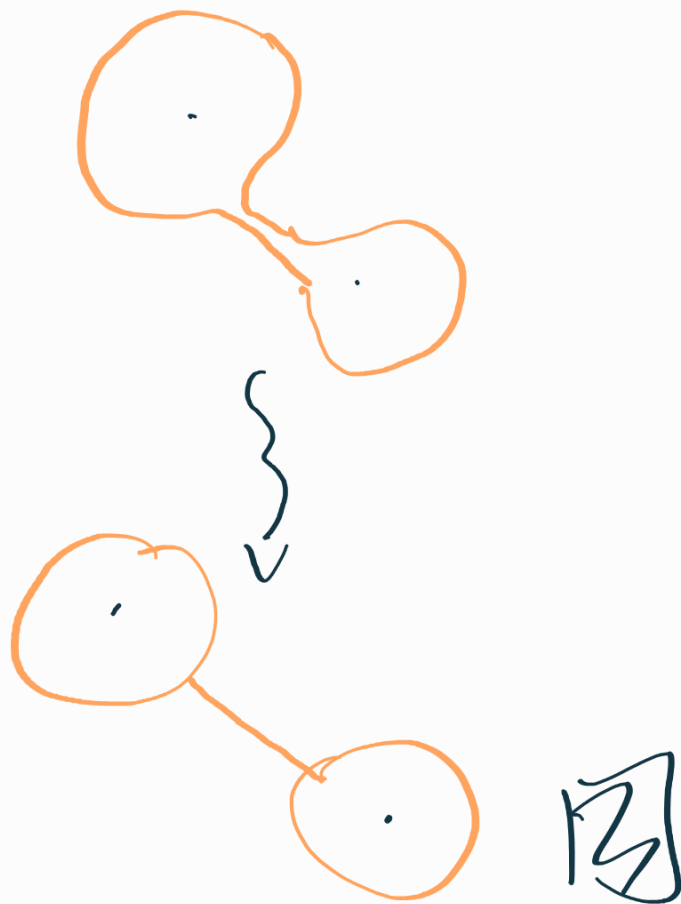
$\nearrow$  does not vanish at  $a$ ,

so the homotopy

$$\gamma_+(z) = \frac{P(ta + (1-t)z)(z-a)^m}{|P(ta + (1-t)z)(z-a)^m|}$$

is well defined and witnesses the fact that the winding number of  $q$  is the same as the winding number of  $(z-a)^m$  if  $q$  has no other roots. In the general case





Since the fibering maps  $T$  are the restrictions of a bundle map to each fiber,  $T$  varies continuously as we move around the base, thus  $q_+$  &  $q_-$  do as well, and the decomposition  $V = V_+ \oplus V_-$  varies continuously too.



$\hookrightarrow$  So, the subspaces  $V_{\pm}$   
 assemble (continuously) into subbundles  
 $E_{+}$  &  $E_{-}$  of  $E$ , and the  
 satisfy the desired properties  
 because they all be check  
 fiberwise.

□

(Pf of (5)).

$$\hookrightarrow [E, z + \underline{b}'(x)] \cong [E_{+}, z + b'(x)] \oplus [E_{-}, z + b'(x)]$$

$$[E_{+}, z + b'(x)] \cong [E_{+}, b'(x)] \cong [E, id]$$

since  $t \mapsto z + tb'(x)$  is  
 a homotopy through g. clutching  
 functions.

Similarly, we get

$$[E_-, z + t b'(x)] = [E_-, z]$$

due to the  $\exists$  of

$$t \mapsto z + t b'(x), \quad \square$$

Pf of injectivity of (map in) FPT.

we'll actually define

$$\nu : K(X \times S^2) \rightarrow K(X) \otimes \frac{\mathbb{Z}[H]}{(H-1)^2}$$

and verify  $\nu \mu = \text{id}$ .

$$\nu([E, z^{-n} q]) := \underbrace{(\mu(H)E)}_{\otimes (H-1)} + \underbrace{E \otimes H^{-n}}$$

Dep. on  $n$ .

Independent of  $n$  by a general property of  $(\quad)_-$ , since.

$$((n+2)E)_- \cong ((n+1)E)_-$$



In turn we can establish,

$$[(n+2)E, L^{n+1}q] \cong [(n+1)E, L^n q] \oplus [E, id]$$



For  $[E, id]$ , the  $E_-$ -part of  $E$  is zero because the corresponding linear clutching function is  $z \neq 0$  and no e.v. of  $b$  lie outside  $b(x)$ , the unit disk in  $\mathbb{C}$ .

Dep on  $n$ . By another calc. —

$$\text{compare } \nu([E, z^{-n}q]) \stackrel{?}{=} \nu([E, z^{-n-1}(zq)])$$

↳ Straightened ex.  $(H-1)^2 \stackrel{?}{=} H(H+1) = H-1$

Dep on to

→ Have utrys. through.  
lownt. poly. clutching Geo. ✓

$$v_{\mu}(E \otimes H^{-m}) = v([E, z^{-m}])$$

$$= \underbrace{E}_{\bar{E}} \otimes (H-1) + E \otimes H^{-m}$$

$$= \underbrace{E \otimes H^{-m}}_{\bar{E}}$$

□